

| SUMMARY | 2013/14 | 2013/14 | 2013/14 | 2013/14 | 2013/14 |
|--|----------------------|---------------------|---------------------------|--------------------|---|
| | Original Estimate | Revised Estimate | Total Spend to Date | Projected Spend | Variance between Proj Spend and Approved Estimate |
| | £ | £ | £ | £ | £ |
| People - focuses on enhancing the quality of life, health and wellbeing of individuals, families and communities, particularly those who are vulnerable & delivering strong services | 2,314,970 | 2,717,750 | 184,490 | 2,612,860 | (104,890) |
| Place - focuses on sustainability, the built environment and our neighbourhoods and ensuring our towns and villages are safe and clean | 729,510 | 3,061,770 | 187,939 | 2,947,720 | (114,050) |
| Prosperity - focuses on safeguarding and enhancing our unique mix of rural and urban communities, promoting sustainable, economic opportunities & delivering cost effective services | 1,647,540 | 1,683,130 | 111,160 | 1,653,210 | (29,920) |
| TOTAL | 4,692,020 | 7,462,650 | 483,589 | 7,213,790 | (248,860) |
| RE-PROFILING POTENTIAL SLIPPAGE (71264/7501) | (250,000) | (250,000) | | (250,000) | 0 |
| | 4,442,020 | 7,212,650 | 483,589 | 6,963,790 | (248,860) |
| Reconciliation of Original to Revised Estimate | | | | | |
| Other Amendments | 2,500,170 | | | | |
| Slippage from 2012/13 | 270,460 | | | | |
| | <u>7,212,650</u> | | | | |

CAPITAL MONITORING 2013/14
PEOPLE
Exp. To 31/07/13

| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
|--|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| | | | £ | £ | £ | £ | £ | |
| Various | Hartham | S. Whinnett | 120,000 | 141,960 | 0 | 141,960 | 0 | Works planned for later in the year (late summer & during Xmas closedown). Some works have slipped due to programming with SLM. |
| Various | Grange Paddocks | S. Whinnett | 107,000 | 107,000 | 11,544 | 107,000 | 0 | Design stage on two schemes. Football pavilion work completed, awaiting final invoices. |
| 72350 | Pool Covers at Hartham & Grange Paddocks | M. Kingsland | 59,000 | 59,000 | 0 | 59,000 | 0 | In negotiation with SLM to agree reduced management fee prior to commencement of works. Agreement expected to be reached by the end of August. |
| Various | Fanshawe | S. Whinnett | 20,000 | 40,000 | 0 | 40,000 | 0 | Specification stage. Awaiting approval to spend. Discussions taking place with Head of Environmental Services. |
| 72348 | Leventhorpe Gym Equipment | M. Kingsland | 0 | 26,780 | 26,484 | 26,500 | (280) | Completed. |
| 72338 | Leventhorpe Renew Air Handling Plant | S. Whinnett | 22,800 | 25,000 | 0 | 25,000 | 0 | Specification stage. Awaiting approval to spend. Discussions taking place with Head of Environmental Services. |
| 72599 | Scotts Grotto Renovation | J. Earley | 4,700 | 4,700 | 1,220 | 4,700 | 0 | 60% completed. |
| <u>Private Sector Improvement Grants</u> | | | | | | | | |
| 72602 | Disabled Facilities (Note 1) | S. Winterburn | 710,000 | 690,000 | 114,772 | 690,000 | 0 | Commitment carried forward from previous year is lower than usual due to lower HCC Occupational Therapy referral rates since 12/13. Publicity underway to raise awareness. Meeting taken place with Director of Neighbourhood Services 23.7.13 who now intends to review these through the challenge process. Please see note 1 below re. Government funding. |
| 72605 | Disabled Facilities - Discretionary | S. Winterburn | 110,000 | 110,000 | 0 | 60,000 | (50,000) | As HCC backlog now nearly cleared the £50,000 that had already slipped to 2013/14 is no longer needed (reported at 4.6.13 Executive). |

CAPITAL MONITORING 2013/14

PEOPLE

Exp. To 31/07/13

| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
|----------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|--|
| | | | £ | £ | £ | £ | £ | |
| 72606 | Decent Home Grants | S. Winterburn | 120,000 | 116,600 | 326 | 116,600 | 0 | Spend to date relates to work being carried out by Building Control Officers |
| 72604 | Energy Grants | S. Winterburn | 20,000 | 20,000 | 0 | 20,000 | 0 | No significant interest amongst residents noted as yet for the new Green Deal Scheme, so some additional incentives may be required from this budget. |
| 72685 | Social Housing Schemes | S. Drinkwater | 827,900 | 827,900 | 0 | 827,900 | 0 | Currently, no commitments have been made as Registered Providers are in programme with the Homes and Communities Agency. The Housing Team is exploring options of utilising S106 monies first and the LA Capital subsequently to develop and deliver a strategic investment plan for affordable housing. |
| 72698 | Rental Accommodation in Sawbridgeworth | S. Drinkwater | 0 | 360,840 | 0 | 360,840 | 0 | To be used for the provision of rent accommodation in Sawbridgeworth from the monies held by Uttlesford D C who act as banker for these funds. Approved at Exec 4.9.12 |
| 71201 | Capital Salaries | S. Chancellor | 26,000 | 26,000 | 0 | 26,000 | 0 | |

CAPITAL MONITORING 2013/14

PEOPLE

Exp. To 31/07/13

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|----------|------------------------------|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| | | | £ | £ | £ | £ | £ | |
| 72442 | Community Capital Grants | C. Pullen | 140,900 | 140,900 | 29,344 | 86,000 | (54,900) | It is estimated that more than half of the budget will be claimed by individual grant recipients before the end of the financial year. Request that the remaining committed balance be rolled over to the next financial year. In July 2013, the Council allocated 12 grants totalling £59,889. The next funding round deadline is 16th December and it is anticipated that most of the grant money allocated at this time will also roll over. Successful applicants have 1 year to complete & claim their project and typically many straddle the financial years. Note that the £140,900 budget is comprised of £90,000 new money & £50,900 from years 11/12 & 12/13. Further request that total of £21,096 is reallocated from monies awarded in 11/12 & 12/13, these have had to be withdrawn. The money would be allocated and re-committed before the end of the financial year. |
| 72578 | Drill Hall | W. O'Neill | 4,350 | 4,350 | 0 | 4,640 | 290 | Retention of £4,638.83 still to be paid. |
| 72582 | LSP Capital Grants | W. O'Neill | 12,920 | 7,320 | 800 | 7,320 | 0 | LSP board determines when grants are going to be awarded. Sum of £30,790 held in Capital Grants in Advance. |
| 72545 | Presdales - Replace Pavilion | M. Kingsland | 9,400 | 9,400 | 0 | 9,400 | 0 | Remaining budget to be spent on further works required to pavilion & car park, to be spent within the next quarter. Property organising works. |

TOTAL 2,314,970 2,717,750 184,490 2,612,860 (104,890)

Reconciliation of Original to Revised Estimate

| | |
|-----------------------|-------------------------|
| Other Amendments | 360,840 |
| Slippage from 2012/13 | 41,940 |
| | <u><u>2,717,750</u></u> |

Expenditure on Joint Use Pools 40% funding sought from HCC/schools as appropriate

Note 1. Government funding of £232,717 in 13/14

| CAPITAL MONITORING 2013/14 | | | | | | | | |
|----------------------------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| PLACE | | | | | | | | |
| Exp. To 31/07/13 | | | | | | | | |
| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
| | | | £ | £ | £ | £ | £ | |
| Various | Hertford Theatre | S. Whinnett | 19,700 | 54,300 | 38,651 | 53,180 | (1,120) | Works on the boiler & fire alarm to be completed in August when the Theatre is closed. Saving achieved on the roof scheme. |
| 72703 | Hertford Theatre Audio, lighting & technical equipment | W. O'Neill | 0 | 64,500 | 0 | 64,500 | 0 | Approved at 23.7 Exec |
| 71272 | Castle Gardens Bungalow - Replace Roof Covering | S. Whinnett | 0 | 2,400 | 905 | 900 | (1,500) | Completed. Saving achieved. |
| 71271 | Castle Gardens B/S-Resurface Footpaths | S. Whinnett | 30,000 | 30,000 | 0 | 30,000 | 0 | Tender stage. |
| 74106 | Heart of B/S - Market Improvement Scheme | W. O'Neill | 45,300 | 45,300 | 0 | 45,300 | 0 | Specification stage - considering relocation of stalls and costs associated with improvements to B/S market. |
| 72701 | Hartham Art Project | W. O'Neill | 0 | 5,000 | 0 | 5,000 | 0 | Final completion date July 2013. Fully funded from Sainsbury's S106 monies. 2nd stage payment to be made this year. |
| 74102 | Historic Building Grants | K. Steptoe | 35,000 | 29,140 | 5,664 | 50,000 | 20,860 | Further to the report to Exec of 4.6.13, it is now highly likely that the grants for Buildings at Risk will be forthcoming and no capital provision has been made for this year. Therefore, after consultation with the Exec Member and the Director of Finance & Support Services a virement should be made of £21k from the underspend within discretionary disabled facility grants. |
| Various | Refuse Collection & Recycling | C. Cardoza | 139,000 | 2,341,190 | 118,190 | 2,204,620 | (136,570) | New wheeled bins procured for delivery in September & October. New vehicles ordered with a delivery date of September. |

| CAPITAL MONITORING 2013/14 | | | | | | | | |
|----------------------------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| PLACE | | | | | | | | |
| Exp. To 31/07/13 | | | | | | | | |
| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
| | | | £ | £ | £ | £ | £ | |
| 72504 | Provision of Play Equipment | C. Cardoza | 50,000 | 50,000 | (20) | 50,000 | 0 | |
| 72506 | Art in Parks Project (Note 1) | C. Cardoza | 5,000 | 5,000 | 0 | 5,000 | 0 | Still seeking to identify a suitable project. |
| 72585 | The Bourne, Ware - Play Area Development Programme | C. Cardoza | 40,000 | 40,000 | 0 | 44,280 | 4,280 | Working on additional funding with the Groundwork Trust so spend depends on that. £4,280 funded by S106 monies. |
| 72507 | Pishiobury Park Wetland Habitat Project (Note 2) | C. Cardoza | 0 | 20,000 | 7,820 | 20,000 | 0 | First stage complete. Second stage requires a land swap with local fishing club and this is subject to a Non-Key decision report which will be coming forward during the summer. |
| 72508 | Hartham Common-Parks Development Plan Project (Note 3) | C. Cardoza | 25,000 | 25,000 | 0 | 25,000 | 0 | Currently subject to consultation. |
| 75168 | Energy Efficiency & Carbon Reduction Measures (Note 4) | C. Cardoza | 45,000 | 45,000 | 0 | 45,000 | 0 | Funding is allocated to two projects. Web based 'smart metering' system for Wallfields to monitor and improve energy use by building zones and deliver energy efficiency savings. |

CAPITAL MONITORING 2013/14

PLACE

Exp. To 31/07/13

| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
|--------------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|--|
| | | | £ | £ | £ | £ | £ | |
| 72591 | Castle Weir Micro Hydro Scheme | C. Cardoza | 210,210 | 208,710 | 4,729 | 208,710 | 0 | Further study to be commissioned. Planning process to be re-started. Due to the amount of work to do on this scheme, the remaining budget may slip into 14/15. |
| 74105 | Town Centre Environmental Enhancements | P. Pullin | 85,300 | 96,230 | 12,000 | 96,230 | 0 | |
| TOTAL | | | 729,510 | 3,061,770 | 187,939 | 2,947,720 | (114,050) | |

Reconciliation of Original to Revised Estimate

| | |
|-----------------------|------------------|
| Other Amendments | 2,264,500 |
| Slippage from 2012/13 | 67,760 |
| | <u>3,061,770</u> |

Note 1. Provision to attract external funding.

Note 2. This project will require match funding to maximise the potential of this project and this sum reflects provision for this.

Note 3. Development of this site will require significant external investment and this sum represents provision to support bids for external funding.

Note 4. Relates to provision for energy efficiency measures following C3W. This is subject to bids for grant funding.

| CAPITAL MONITORING 2013/14 | | | | | | | | COMMENTS |
|----------------------------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|----------|---|
| PROSPERITY | | | | | | | | |
| Exp Code | 2013/14 Approved Schemes | Project Control Officer | Exp. To 31/07/13 | | | | | 2013/14 Variance between Proj Spend and Approved Estimate |
| | | | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 | |
| | NOTE ON ICT SCHEMES | | £ | £ | £ | £ | £ | |
| | Programme of work to be provided by ICT after consultation with project sponsors & project control officers | | | | | | | |
| 71374 | Network, Servers & Storage Upgrade | D. Frewin | 50,000 | 0 | 3,900 | 0 | 0 | Spend dependant on shared services decision. |
| 71377 | BACS | P. Bowler | 2,500 | 2,500 | 0 | 2,500 | 0 | Interim Head of ICT to liaise with Revs & Bens to rationalise this. |
| 71379 | Authentication | P. Bowler | 31,000 | 31,000 | 0 | 31,000 | 0 | Interim Head of ICT to clarify. |
| 71388 | GIS | P. Bowler | 2,000 | 5,470 | 0 | 5,470 | 0 | Awaiting invoice from HCC. |
| 71395 | EDM - Corporate | P. Bowler | 11,000 | 16,070 | 0 | 16,070 | 0 | Requirement for this budget still to be determined. |
| 71408 | Housing Benefits System | S. Tarran | 16,100 | 16,100 | 0 | 16,100 | 0 | Relates to 'Risk & reward' payment to Capita. |
| 71409 | Locata | P. Bowler | 10,000 | 10,000 | 0 | 10,000 | 0 | Scheme to go ahead but may slip into 14/15 due to the number of local authorities involved. |
| 71414 | Hardware Funding | D. Frewin | 140,000 | 0 | 8,516 | 0 | 0 | |
| Various | New Hardware schemes | D. Frewin | | 293,000 | 0 | 293,000 | 0 | |
| 71415 | Applications | P. Bowler | 55,000 | 110,070 | 47,758 | 110,070 | 0 | |
| 71435 | Proposed Funding for Applications | P. Bowler | 0 | 200,000 | 0 | 200,000 | 0 | |
| Various | New Software Schemes | P. Bowler | 0 | 43,000 | 0 | 43,000 | 0 | |
| 71416 | Merging systems - Licensing & Env Health | B. Simmonds | 15,000 | 15,000 | 0 | 0 | (15,000) | To slip into 13/14 |
| 71418 | Mayrise Upgrade | P. Bowler | 10,000 | 30,000 | 22,877 | 30,000 | 0 | To be completed in August. |

CAPITAL MONITORING 2013/14
PROSPERITY
Exp. To 31/07/13

| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
|----------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| | | | £ | £ | £ | £ | £ | |
| | NOTE ON ICT SCHEMES | | | | | | | |
| | Programme of work to be provided by ICT after consultation with project sponsors & project control officers | | | | | | | |
| 71420 | Integrated DC & BC Systems | K. Steptoe | 60,000 | 60,000 | 0 | 60,000 | 0 | Subject to soft market testing, information to be sent to CMT with a probable higher figure. Interim Head of ICT and Head of Planning to discuss further. |
| 71422 | Shared Services Infrastructure Integration | A. Taylor | 50,000 | 0 | 0 | 0 | 0 | Budget utilised elsewhere. |
| 71438 | EH share 50% of estimated costs | H. Lewis | | 55,000 | 0 | 55,000 | 0 | |
| 71439 | Service Desk & Utilities | H. Lewis | | 64,000 | 0 | 64,000 | 0 | |
| 71424 | Provisional IT Investment | A. Taylor | 500,000 | 0 | 0 | 0 | 0 | Budget utilised elsewhere. |
| 71362 | Capital Salaries | S. Chancellor | 109,000 | 109,000 | 0 | 109,000 | 0 | |
| 71423 | Replacement Condensers to Server Room | S. Whinnett | 0 | 1,000 | 0 | 0 | (1,000) | Completed. Saving achieved. |
| 75240 | Bircherley Green MSCP - Major Refurb. & Repairs | S. Whinnett | 66,240 | 66,240 | 10,294 | 66,240 | 0 | Asset Management Group have requested that further expenditure be postponed until after a decision has been made on the future of the car park. |
| 75241 | Gascoyne Way MSCP - Major Refurb. & Repairs | S. Whinnett | 0 | 8,600 | 3,955 | 8,600 | 0 | Completed, awaiting final invoices. |
| 75268 | Northgate End - Resurfacing & Lining | S. Whinnett | 50,000 | 50,000 | 400 | 50,000 | 0 | Out to tender. |
| 75269 | Bell Street - Resurfacing & Lining | S. Whinnett | 25,000 | 25,000 | 0 | 25,000 | 0 | Out to tender. |
| 75166 | Replace Footbridge Library Car Park Ware | S. Whinnett | 7,200 | 7,200 | 0 | 7,200 | 0 | Retention & fees still to be paid in September. |

CAPITAL MONITORING 2013/14

PROSPERITY

Exp. To 31/07/13

| Exp Code | 2013/14 Approved Schemes | Project Control Officer | 2013/14 Original Estimate | 2013/14 Revised Estimate | 2013/14 Total Spend to Date | 2013/14 Projected Spend | 2013/14 Variance between Proj Spend and Approved Estimate | COMMENTS |
|----------|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| | | | £ | £ | £ | £ | £ | |
| | NOTE ON ICT SCHEMES | | | | | | | |
| | Programme of work to be provided by ICT after consultation with project sponsors & project control officers | | | | | | | |
| 71273 | Wallfields Fire Alarm Upgrade | S. Whinnett | 0 | 0 | 0 | (3,920) | (3,920) | Completed, o/s order to be cancelled, therefore, credit will appear on this code. |
| 71274 | Wallfields Replacement of Radiators | S. Whinnett | 60,000 | 60,000 | 0 | 60,000 | 0 | Specification stage. |
| 71275 | Wallfields & Charringtons - Server Room Fire Suppression Systems | S. Whinnett | 20,000 | 20,000 | 0 | 20,000 | 0 | Awaiting instructions from ICT. |
| 71276 | Wallfields - Equality Access & Card Control to Doors | S. Whinnett | 40,000 | 40,000 | 0 | 40,000 | 0 | Specification stage. |
| 71269 | Wallfields Security Gates & Fencing to Boiler House | S. Whinnett | 15,000 | 15,000 | 0 | 15,000 | 0 | Specification stage. |
| 72598 | Cricketfield Lane - Resurface Footpath & Retainment Works | S. Whinnett | 75,000 | 75,000 | 0 | 75,000 | 0 | Scheme to be reviewed. |
| 71203 | Replacement Chairs & Desks | R. Crow | 10,000 | 11,080 | 2,810 | 11,080 | 0 | As the spend against this budget is predominately demand driven the projected spend is based on potential demand. |
| 75160 | River & Watercourse Structures | G. Field | 47,500 | 61,800 | 10,650 | 61,800 | 0 | The replacement bridge in Pishiobury Park is now complete. Works are progressing to replace the St. Andrew Street Car Park bridge in Hertford Castle grounds in liaison with the town council, the Env Agency, planning & parks departments. Remedial works required for other EH owned bridges will be assessed according to priority. |
| 75157 | Footbridge over River Stort | A. Osborne | 91,020 | 90,220 | 0 | 90,220 | 0 | Still trying to resolve the outstanding issues so while there is no progress yet, hope to finalise the matter this year. |

CAPITAL MONITORING 2013/14

PROSPERITY

Exp. To 31/07/13

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|--|--|-------------------------|---------------------------|--------------------------|-----------------------------|-------------------------|---|---|
| NOTE ON ICT SCHEMES | | | | | | | | |
| Programme of work to be provided by ICT after consultation with project sponsors & project control officers | | | | | | | | |
| | | | £ | £ | £ | £ | £ | |
| 72568 | North Drive - reconstruct road & drainage | A. Osborne | 15,380 | 15,380 | 0 | 15,380 | 0 | Unable to resolve this at the moment as the money available is not enough to upgrade the road properly, however there are a couple of private planning schemes that may come forward that we hope to be able to include the road in. |
| 71266 | Capital Salaries | S. Chancellor | 53,600 | 53,600 | 0 | 53,600 | 0 | |
| 71251 | Automated Telling Machines at Hertford & B/S | N. Sloper | 0 | 12,800 | 0 | 12,800 | 0 | The work is underway. Due to Civica payment system upgrade it is anticipated that the project will be delivered in Q4. |
| 72702 | Parking Services - Operational Vehicle | N. Sloper | 10,000 | 10,000 | 0 | 0 | (10,000) | Originally an approved capital bid for a used operational vehicle for Parking Services. Following a full cost/benefit review the lease cost of a new vehicle was comparable to the net cost of running a used vehicle so a new lease is to be progressed instead. |
| TOTAL | | | 1,647,540 | 1,683,130 | 111,160 | 1,653,210 | (29,920) | |
| Reconciliation of Original to Revised Estimate | | | | | | | | |
| Other Amendments | | | (125,170) | | | | | |
| Slippage from 2012/13 | | | 160,760 | | | | | |
| | | | <u>1,683,130</u> | | | | | |